

HIGH PEAK BOROUGH COUNCIL INTERNAL AUDIT – 2024/25 PROGRESS INFORMATION AS AT 31st JANUARY 2025

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
AES Contract Management	12	1	0	0	0	4	1	1	9	7	7	Satisfactory
Alliance Norse Contract Management	11	1	0	0	0	4	2	2	8	0	0	Satisfactory
Capital Accounting	0	0	0	0	0	0	0	0	0	0	0	Substantial
Equalities & Diversity	11	2	0	0	0	3	2	2	10	7	7	Satisfactory
Expenses & Employee Vehicle Schemes	14	4	0	0	0	5	0	0	13	0	0	Limited
Housing Rents	3	0	0	0	0	1	1	1	2	1	1	Satisfactory
Cyber Security – Identify	12	0	0	0	0	3	0	0	9	0	0	Satisfactory
Corporate Governance	6	2	0	0	0	0	0	0	8	2	2	Satisfactory
Complaints, Compliments and Comments	11	0	0	0	0	2	0	0	9	0	0	Satisfactory
Housing Agency												Audit in Progress
BACS Transmissions	4	0	0	0	0	1	1	1	3	0	0	Satisfactory
Regeneration Grant Funding	7	3	0	0	0	2	0	0	8	0	0	Satisfactory
Elections	8	3	0	0	0	2	1	1	9	0	0	Satisfactory
Planning Policy	8	0	0	0	0	3	0	0	5	0	0	Satisfactory
Pest Control												Management Response
Sundry Debtors	8	1	0	0	0	0	0	0	9	0	0	Satisfactory
Community Support	7	1	0	0	0	0	0	0	8	0	0	Satisfactory
Budgetary Control	2	0	0	0	0	1	0	0	1	0	0	Satisfactory
Human Resources												Audit in Progress
Project Management												Audit in Progress
Council Tax												Quality Control Stage
Creditor Payments												Audit in Progress
NNDR												Audit in Progress
Recovery												Audit in Progress
Leisure Service Provision												Audit in Progress
Cultural Services												Audit in Progress
TOTAL RECOMMENDATIONS	142	0	0	0	0	31	8	8	111	17	17	
ACTION TAKEN TO DATE			0	0			8	8		17	17	

Key:			
Risk		Class	
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	Regulatory	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		