

STAFFORDSHIRE MOORLANDS DISTRICT COUNCIL INTERNAL AUDIT – 2024/25 PROGRESS INFORMATION AS AT 30th NOVEMBER 2024

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
AES Contract Management	12	1	0	0	0	4	1	1	9	7	7	Satisfactory
Alliance Norse Contract Management	11	1	0	0	0	4	0	0	8	0	0	Satisfactory
Capital Accounting	0	0	0	0	0	0	0	0	0	0	0	Substantial
Equalities & Diversity	11	2	0	0	0	3	2	2	10	1	1	Satisfactory
Expenses & Employee Vehicle Schemes	14	4	0	0	0	5	0	0	13	0	0	Limited
Cyber Security - Identify												Management Response
Corporate Governance	6	2	0	0	0	0	0	0	8	2	2	Satisfactory
Complaints, Compliments and Comments	11	0	0	0	0	2	0	0	9	0	0	Satisfactory
Housing Agency												Audit in Progress
BACS Transmissions	4	0	0	0	0	1	0	0	3	0	0	Satisfactory
Regeneration Grant Funding												Audit in Progress
Elections												Management Response
Planning Policy	8	0	0	0	0	3	0	0	5	0	0	Satisfactory
Pest Control												Audit in Progress
Sundry Debtors												Audit in Progress
Community Support												Audit in Progress
TOTAL RECOMMENDATIONS	87	0	0	0	0	22	3	3	65	10	10	
ACTION TAKEN TO DATE			0	0	0		3	3		10	10	

Key: Risk		Class	
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	Regulatory	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		