

STAFFORDSHIRE MOORLANDS DISTRICT COUNCIL – YEAR END INFORMATION FOR 2023/24

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
Climate Change	7	3	0	0	0	1	1	1	9	7	2	Satisfactory
Leisure Contract Management	9	1	0	0	0	4	1	1	6	3	3	Satisfactory
Media & Communications	3	4	0	0	0	0	0	0	7	3	3	Satisfactory
Procurement	10	5	0	0	0	2	1	0	13	2	0	Satisfactory
Taxi Licensing	14	1	0	0	0	4	0	0	11	1	1	Limited
Car Parking	11	0	0	0	0	6	5	2	5	0	0	Limited
Sundry Debtors	7	0	0	0	0	0	0	0	7	1	1	Satisfactory
NNDR	7	0	0	0	0	1	0	0	6	3	3	Satisfactory
Council Tax	3	0	0	0	0	0	0	0	3	1	1	Satisfactory
Budgetary Control	1	0	0	0	0	1	0	0	0	0	0	Satisfactory
Recovery	4	0	0	0	0	2	0	0	2	0	0	Satisfactory
Creditor Payments	11	0	0	0	0	4	0	0	7	0	0	Satisfactory
Treasury Management	6	0	0	0	0	1	0	0	5	2	2	Satisfactory
Housing Benefits	6	0	0	0	0	1	0	0	5	0	0	Satisfactory
General Ledger	2	0	0	0	0	0	0	0	2	1	1	Satisfactory
Payroll	4	1	0	0	0	1	1	1	4	1	1	Satisfactory
TOTAL RECOMMENDATIONS	120	0				28			92			
ACTION TAKEN TO DATE				0	0		9	5		25	18	

Key:		Class	
Risk		Regulatory	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.		
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		