

## Appendix D: Above Tolerance Opportunity Risks

ALT Owner	Ref	Opportunity	Controls	Fruition Plans
<b>Strategic</b>				
Neil Rodgers  Impact – 5 Likelihood - 3	SRRO - 1	Housing Growth	<ol style="list-style-type: none"> <li>1. Affordable Homes Programme.</li> <li>2. Empty Homes Strategy in place.</li> <li>3. Accelerated Housing Delivery Programme</li> <li>4. SM Local Plan adopted in 2020.</li> </ol>	<ol style="list-style-type: none"> <li>1. Empty homes strategy implementation.</li> <li>2. Focus on growth agenda through Local Plan delivery - realisation of Accelerated Housing Delivery Programme.</li> <li>3. Work with Homes England to access funding to support housing growth.</li> <li>4. Disposal of remaining Council owned sites being programmed for 2023/24.</li> </ol>
Neil Rodgers  Impact – 4 Likelihood - 4	SRRO - 2	Business Growth	<ol style="list-style-type: none"> <li>1. Growth Hub referrals.</li> <li>2. Provision of employment units</li> <li>3. Business Newsletters advising of grant funding opportunities and training events to help businesses survive and flourish</li> <li>4. Develop pipeline of new sites suitable for economic development.</li> </ol>	<ol style="list-style-type: none"> <li>1. Implement the accelerated business growth and employment programme.</li> <li>2. Work with LEP partners to access vital funding to support business growth.</li> <li>3. Use of ARG funding to provide enhanced business support</li> <li>4. Identify priority list of employment sites for purchase or disposal</li> </ol>
Mark Trillo  Impact – 3 Likelihood - 4	SRRO - 4	Effective contractor relationships	Current procurement and contract management arrangements	<ol style="list-style-type: none"> <li>1. Greater focus on Council as commissioner</li> <li>2. Effective contract management arrangements that build up effective partnership arrangements</li> <li>3. Focus on Contract Management within the Procurement Strategy Implementation and delivery of the key priority actions will commence from January 2023.</li> </ol>
Mark Trillo  Impact- 4 Likelihood- 4	SRRO -5	Delivery of the 3 LUF investment schemes	<ol style="list-style-type: none"> <li>1. Effective programme and project management methodology for the delivery of the scheme</li> <li>2. Project/steering/monitoring groups established reporting weekly, bi-weekly, monthly and quarterly</li> <li>3. Mutual ventures engaged to mobilise the initiation/inception of the scheme</li> </ol>	<ol style="list-style-type: none"> <li>1. Weekly/Biweekly Operational Project group meetings.</li> <li>2. Monthly programme board meetings.</li> <li>3. Quarterly TB meeting specific to LUF funding.</li> <li>4. Members updated at least 6 monthly.</li> <li>5. Monitoring and evaluation document to central Govt quarterly/6 monthly.</li> </ol>
<b>Operational</b>				
Tanya Cooper  Impact – 3 Likelihood - 4	ODTO R1	Efficiency opportunities arising from the implementation of new IT systems	The IT strategy has been evaluated, we have created a clear picture of the way forward and the required actions.	IT strategy approved, and action plan being implemented in conjunction with Socitm and Invuse.

Projects				
Tanya Cooper –Procurement Workflow  Impact – 3 Likelihood – 4	PWO pp1	A new Alliance intranet, procurement workflow and staff training using M365 tools to align with our digital strategy.	The current Alliance intranet (which also hosts the procurement workflow) is based upon a version of Drupal which is due to expire. We have explored our options and have appointed Invuse to deliver a new Alliance intranet using SharePoint. The scope also includes the design of a new procurement workflow using M365 tools and general M365 training for all staff across the organisation.	Contract awarded; work has commenced.
Lorraine Wright– Tunstall Road  Impact – 4 Likelihood - 3	1	Delivery of up to 105 homes and 20,000 sqft employment space (generating £0.12m gross income) + additional 11 employment site plots for re-sale (60,000 sqft) -delivery of Local plan site and additional income to council from business rates & council tax. There remains risk that without works outlined, the project will not be able to progress which could reduce 5-year supply and will see loss of business due to lack of b1/b2 accommodation.	A masterplan of site options and layouts was completed in 2018, with owners’ input, to inform local plan. It recommended follow up work to Recommended next steps: - Formal valuations of the two residential properties which are included - Prepare and undertake land equalisation proposals including undertaking negotiations with landowners - Preparation of business case for council to consider purchase of part of site/ marketing of site to developers and/or procurement	1. Continued liaison with Homes England so project trajectory on their radar (potential funding) 2. Continued liaison with LEP re project as SS LEP pipeline (although project is would not be shovel ready until second stage work undertaken).
Rob Wilks – Brough Park  All Impact – 4 Likelihood - 3	BP1	Develop a committed community group who will take ownership of the recreation area	Play inspections occur regularly by staff	Regular contact with community group members to encourage support for the project and establish community ownership of the play area site.
	BP2	Support a community group to access further	There are limited funding options available to local councils	Explore funding opportunities that may be available that are appropriate to the site and can easily be accessed by the community

		external funding to enhance the site		group.
	BP3	Promoting physical activity with a range of external partners	Further improvement works are planned at the site, and these would all benefit people's health and well-being	The installation of the new play area will promote interest from the local community will provide a solid foundation for us to build upon and encourage activity opportunities and extended use of a well-managed open space.
	BP4	Enhance the offering from John Hall Garden	Limited public interaction with the site in its current form	Improvements to the site will encourage more people to visit and in-turn make a more viable offering. Work will be required to promote the offering and engage the public to attend.