

AUDIT REPORTS ISSUED BETWEEN 1st SEPTEMBER 2023 & 30th NOVEMBER 2023

Climate Change

Assurance Level

Assurance: SATISFACTORY

It is our opinion that controls currently in place within the system provide satisfactory assurance that risks material to the achievement of the systems objectives outlined in the Scope and Objectives section of this report are adequately managed.

Key Findings

Key Issues	Strengths and Improvements
<ul style="list-style-type: none"> Climate Change action plans should contain SMART performance targets in support of strategy objectives. 	<ul style="list-style-type: none"> Climate Change strategies are in place and subject to regular review. The Climate Change and Nature Fund has been rolled out to support local community group projects. Action has been taken in respect of all recommendations raised during the previous audit report.

Summary of Recommendations

An analysis of the recommendations categorised by risk and classification (regulatory or added value) is shown below:

Description	High		Medium		Low	
	R	A	R	A	R	A
Information Asset Register					1	
Climate Change Strategy			1		1	
Training					1	1
Procurement					1	1
Climate Change and Nature Fund					2	
Project Management						1
Total			1		6	3

Leisure Contract Management

Assurance Level

Assurance: SATISFACTORY

It is our opinion that controls currently in place within the system provide satisfactory assurance that risks material to the achievement of the systems objectives outlined in the Scope and Objectives section of this report are adequately managed.

Key Findings

Key Issues	Strengths and Improvements
<ul style="list-style-type: none"> • Periodic checks should be undertaken by the contract manager to confirm the contractors compliance with the performance requirements identified within the contract terms, conditions and specification. • The Leisure Commissioning Board should ensure that they receive sufficient reports/information to enable the achievement of its purpose in ensuring that the standards of service set out in the Leisure Operating Contract are met. • Parkwood should be requested to supply inventories for each leisure centre with checks being undertaken to physically verify assets and ensure that such items are reinstated to a condition by the contract termination date which is not inferior to its condition at the commencement date. • Maintenance schedules should be documented and approved, with sufficient monitoring undertaken and documented to ensure that the schedules are adhered to and that any unplanned maintenance issues are identified, appropriately raised and remedial action agreed. 	<ul style="list-style-type: none"> • The future operating model for the provision of leisure facilities is currently under review. • All fees paid to the contractor were found to be in accordance with the terms of the contracts. • Regular management meetings are held with the contractor.

Summary of Recommendations

An analysis of the recommendations categorised by risk and classification (regulatory or added value) is shown below:

Description	High		Medium		Low	
	R	A	R	A	R	A
Information Asset Register					1	
Contract Monitoring			2		1	
Customer Contact					2	1
Inventories			1			
Maintenance			1			
Handover Plan					1	
Total			4		5	1

Procurement

Assurance Level

Assurance: SATISFACTORY

It is our opinion that controls currently in place within the system provide satisfactory assurance that risks material to the achievement of the systems objectives outlined in the Scope and Objectives section of this report are adequately managed.

Key Findings

Key Issues	Strengths and Improvements
<ul style="list-style-type: none"> All purchasing activity should be undertaken in accordance with the Alliance Joint Procurement Procedure Rules. 	<ul style="list-style-type: none"> A revised Joint Procurement Strategy has been released. There is regular correspondence with relevant service areas to assist in the development of the Procurement Forward Plan.

Summary of Recommendations

An analysis of the recommendations categorised by risk and classification (regulatory or added value) is shown below:

Description	High		Medium		Low	
	R	A	R	A	R	A
Purchase Orders					2	
Supplier Spend			1			
Performance Indicators					1	1
Procurement Procedure Rules					2	1
Reporting						1
Forward Plan						1
Permitted Exemptions			1		1	
Purchasing Cards					2	
Legal Contracts						1
Total			2		8	5