

STAFFORDSHIRE MOORLANDS DISTRICT COUNCIL INTERNAL AUDIT – 2021/22 PROGRESS INFORMATION AS AT 31<sup>st</sup> JANUARY 2022

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
Housing Agency	8	1	0	0	0	5	0	0	4	0	0	Limited
Safeguarding	4	0	0	0	0	0	0	0	4	0	0	Satisfactory
AES Contract Management	3	0	0	0	0	2	0	0	1	0	0	Satisfactory
Commercial Property	12	0	0	0	0	1	0	0	11	0	0	Satisfactory
Data Protection/GDPR	16	0	0	0	0	3	0	0	13	0	0	Satisfactory
Land Charges	3	0	0	0	0	0	0	0	3	0	0	Satisfactory
Human Resources	13	3	0	0	0	1	0	0	15	9	9	Satisfactory
Cemeteries	9	0	0	0	0	1	0	0	8	1	1	Satisfactory
Licensing												Audit in Progress
ICT Asset Management	10	0	0	0	0	3	0	0	7	1	1	Satisfactory
Markets												Audit in Progress
Strategic Partnerships												Audit in Progress
Building Control Contract Managem't												Audit in Progress
CivicaPay												Audit in Progress
Sundry Debtors												Audit in Progress
Budgetary Control	0	0	0	0	0	0	0	0	0	0	0	Substantial
Treasury Management												Audit in Progress
<b>TOTAL RECOMMENDATIONS</b>	<b>82</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>16</b>	<b>0</b>	<b>0</b>	<b>66</b>	<b>11</b>	<b>11</b>	
<b>ACTION TAKEN TO DATE</b>				<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>		<b>11</b>	<b>11</b>	

Key:		Class	
<b>Risk</b>		<b>Regulatory</b>	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.		
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		