

Appendix D: Above Tolerance Opportunity Risks

ALT Owner	Ref	Opportunity	Controls	Impact	Likelihood	Fruition Plans	Date
Strategic							
ED - Place	SRR O - 1	Housing Growth	1. Affordable Homes Programme. 2. Empty Homes Strategy in place. 3. SM Local Plan adopted in 2020.	5	3	1. Empty homes strategy implementation. 2. Focus on growth agenda through Local Plan delivery 3. Work with Homes England to access funding to support housing growth.	1. As per action plan timescales 2. Project timescales. 3. Underway
	SRR O - 2	Business Growth	1. Growth Hub referrals. 2. County working e.g., participation in Derbyshire 100% retention pilot for 2018/19. 3. Provision of employment units 4. Business Newsletters advising of grant funding opportunities and training events to help businesses survive and flourish	4	4	1. Implement the accelerated business growth and employment programme. 2. Work with LEP partners to access vital funding to support business growth. 3. New business support schemes provided by CRF programmes and Vision Derbyshire. Use of ARG funding to provide enhanced business support.	1. As per project timescales 2. Ongoing as per individual scheme requirements
ED – Comm & Governance	SRR O - 4	Effective contractor relationships	Current procurement and contract management arrangements	3	4	1. Greater focus on Council as commissioner 2. Effective contract management arrangements that build up effective partnership arrangements 3. Focus on Contract Management within the Procurement Strategy (Information Digest Report released June 2021)	1. New Commissioning roles in place for AES phase 2 onwards, with an additional post being created for phase 3 onwards. 2. As per actions on SRR 5. 3. 2021-22
Operational							

Tanya Cooper	OD TO R1	Efficiency opportunities arising from the implementation of new IT systems	IT strategy being evaluated to create a clearer picture of the way forward and the required actions	3	4	Background work around the IT strategy is being evaluated	2021
Projects							
Ben Haywood – Building Control P’ship	BC OP 1	Opportunity to improve the Building Control service	DBCP will provide a bi-monthly management report which details financial performance including the number of applications. sales and income. They also supply a bi-monthly PR report detailing marketing activity and details of significant applications An annual Service Review and Update will be provided at the beginning of each financial year, measuring DBCP performance against the Service Level Agreement.	4	4	SLA to be agreed as part of the proposal	Upon agreement
Elaine Hallworth – Procure Workflow	PW Op p1	Improved business intelligence and reporting function	Limited reporting function in the current system but an improved reporting function has been highlighted as an outline deliverable and is part of the critical success criteria	3	4	1. New system specifications include an improved reporting function 2. Critical success criteria includes an improved reporting function	1. Mar 21- complete 2. 2022
Sarah Porru – Tunstall Road	1	Delivery of up to 105 homes and 20,000 sqft employment space (generating £0.12m gross income) +	A masterplan of site options and layouts was completed in 2018, with owners input, to inform local plan. It recommended follow up work to Recommended next steps: - Formal valuations of the two residential properties which are	4	3	1. Continued liaison with Homes England so project trajectory on their radar (potential funding) 2. Continued liaison with LEP re project as SS LEP pipeline (although project is would not be shovel ready until second stage work undertaken).	Initial action Jan 2021

		additional 11 employment site plots for re-sale (60,000 sqft) -delivery of Local plan site and additional income to council from business rates & council tax.	included - Prepare and undertake land equalisation proposals including undertaking negotiations with landowners - Preparation of business case for council to consider purchase of part of site/ marketing of site to developers and/or procurement There remains risk that without works outlined, the project will not be able to progress which could reduce 5-year supply and will see loss of business due to lack of b1/b2 accommodation.				
Nicola Kemp – Brough Park	BP1	Opportunity to develop a committed community group who will take ownership of the recreation area	Play inspections occur regularly by staff	4	3	Regular contact with community group members to encourage support for the project and establish community ownership of the play area site.	As a result of COVID-19 the target date for this is unknown
	BP2	Opportunity to support a community group to access further external funding to enhance the site	There are limited funding options available to local councils	4	3	Explore funding opportunities that may be available that are appropriate to the site and can easily be accessed by the community group	As a result of COVID-19 the target date for this is unknown
	BP3	Opportunity for promoting physical activity with a range of	Further improvement works are planned at the site and these would all benefit	4	3	The installation of the new play area will promote interest from the local community will provide a solid	As a result of COVID-19 the target date for this is

		external partners	people's health and well-being			foundation for us to build upon and encourage activity opportunities and extended use of a well-managed open space	unknown
Nicola Kemp – Country Sites	CSi 1	Management of the sites by a charity will inherently open opportunities to funding not open to a LA.	SWT is an existing charity so will be aware of funding opportunities.	3	4	Successful funding opportunities to be recorded to demonstrate success.	Transfer date unknown, SMDC need new approvals from Cabinet if alternative option is determined suitable. Aspiration is for service transfer on 1.1.22
Ben Haywood – Land Charges	LLC OP 1	Opportunity to cleanse data and streamline the process	HMLR provide a service whereby the data can be sent and cleansed to assist with the preparation stage of the project.	3	4	1. Data cleanse will take place before the migration using the HMLR service (for Staffs Moorlands data)	TBD
Nicola Kemp - Collective	1	Reduction in missed bins	GOSS online calendars have been designed with the info residents need in mind to reduce chance of resident error re bin type/day IEG4 forms are be configured to prevent submission of missed bin form unless a genuine miss. Forms partially return list of contaminates - increases recycling education but could	3	4	Design customer facing material focusing on the info residents need & get feedback Increase accuracy of events & resident comms - ensure drivers & supervisors are confident in the event logging process, and design additional events and automation s to increase accuracy and remove need for manual updates Improve IEG4 form functionality for	Dec 2021

			do more Bartec events have been developed to cover many scenarios, which will feed the IEG4 forms to prevent missed bins being submitted unless genuine			'why has my bin not been emptied' to include more reasons / read job statuses	
	2	Improved system integrations	Project team has improved technical capabilities & have developed an advanced understanding of the system capabilities with specialist technical skills GOSS online calendars retrieve live schedule info and translate into easy to read/check calendars IEG4 forms retrieve LIVE relevant data from Bartec to prevent submission of inaccurate webforms, or customer contact that is unnecessary	4	4	Identify the level of ongoing system & integration 'ownership' required to carry it forward post implementation to achieve project objectives, and change with the times as technology progresses post implementation. Steering group to highlight where enhancements of these integrations could improve successful operation via Enhanced use of Bartec, GOSS + IEG4 through API technology, and design service requests best fit to both the system and the service with user needs at forefront	Dec 2021
	3	Off site hosting & futureproofing	Bartec being hosted means AES staff can access it from Cheshire East's networks as required, rather than via multiple connections into HPSM networks Flare will not be removed from HPSM's server estate though as	2	5	To be determined	Ongoing

			it is used by Environmental Health still				
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