

STAFFORDSHIRE MOORLANDS DISTRICT COUNCIL INTERNAL AUDIT – 2021/22 PROGRESS INFORMATION AS AT 31st OCTOBER 2021

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
Housing Agency	8	1	0	0	0	5	0	0	4	1	1	Limited
Safeguarding	4	0	0	0	0	0	0	0	4	0	0	Satisfactory
AES Contract Management	3	0	0	0	0	2	0	0	1	0	0	Satisfactory
Commercial Property												Audit in Progress
Data Protection/GDPR	16	0	0	0	0	3	0	0	13	0	0	Satisfactory
Land Charges												Audit in Progress
Human Resources												Audit in Progress
Cemeteries												Audit in Progress
Licensing												Audit in Progress
ICT Asset Management												Audit in Progress
Markets												Audit in Progress
TOTAL RECOMMENDATIONS	32	0				10			22			
ACTION TAKEN TO DATE				0	0		0	0		1	1	

Key:		Class	
Risk		Regulatory	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.		
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		